

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Gao et al.

Serial No.: To be Assigned

Filed: Concurrently Herewith

For: *Lithium Metal dispersion in Secondary Battery Anodes*

Date: January 16, 2004

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
CITATION UNDER 37 C.F.R. § 1.97**

Sir:

Attached is a list of documents on forms PTO-1449 and form PTO-892. All items listed on the PTO-1449s and PTO-892 were cited in parent application Serial No. 10/025,946, filed December 19, 2001. Since the benefit of this application is claimed under 35 U.S.C. §120, no other copies need to be furnished in accordance with 37 C.F.R. §1.98(d); however, copies will be furnished on request. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. §1.97 and Section 609 of the MPEP.

Respectfully submitted,



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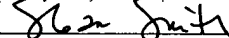
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Sloan Smith

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	To be assigned
				Filing Date	Concurrently herewith
				First Named Inventor	Gao et al.
				Group Art Unit	1745
Examiner Name	KORAKT				
Sheet	2	of	2	Attorney Docket Number	30208-241933 - 208-6160CT



U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant Of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
		Number	Kind Code (if known)			
OK	1	4,615,959		Hayashi et al.	10-07-86	
OK	2	4,668,595		Yoshino et al.	05-26-87	
OK	3	5,153,082		Ogino et al.	10-06-92	
OK	4	5,286,582		Tahara et al.	02-15-94	
OK	5	5,587,256		Wilson et al.	12-24-96	
OK	6	5,672,446		Barker et al.	09-30-97	

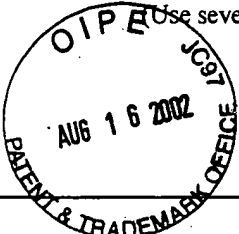
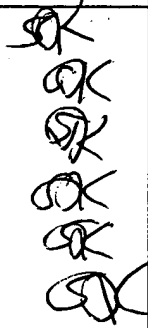
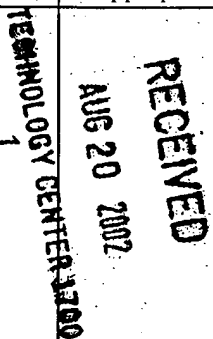
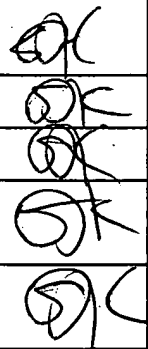
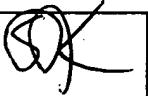
FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No.	<u>Foreign Patent Document</u>			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	Number	Kind Code (if known)				

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s) , volume-issue number(s), publisher, city and/or country where published.	T

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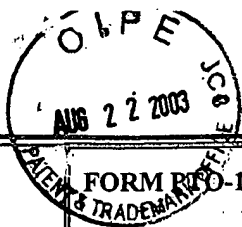
Examiner Signature		Date Considered	7/31/03
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*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office				Attorney Docket Number 208-6160CT		Serial No. 10/025,946 To be assigned	
LIST OF DOCUMENTS CITED BY APPLICANT							
 (Use several sheets if necessary)				Applicants: Gao et al.		ATTACH TO # 6	
				Filing Date: Concurrently herewith December 19, 2001		Group 1745	
U. S. PATENT DOCUMENTS							
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate	
	1.	5312611	05/17/94	Takami et al.	423	447.6	
	2.	5725968	03/10/98	Sato et al.	429	218	
	3.	5753387	05/19/98	Takami et al.	429	194	
	4.	5958622	09/28/99	Kojima et al.	429	218.1	
	5.	6270926 B1	08/07/01	Yamashita et al.	429	231.1	
	6.	6156457	12/5/00	Takami et al.	429	231.4	
FOREIGN PATENT DOCUMENTS							
	Document Number	Date	Country	Class	Subclass	Translation Yes No	
	7.	EP 0763865 A1	01/14/92	EPO	H01M	10/40	X
	8.	0573266 A1	06/01/93	EPO	H01M	4/58	X
	9.	0601832 A1	12/07/93	EPO	H01M	4/58	X
	10.	EP 0696075 A2	08/03/95	EPO	H01M	4/48	X
	11.	EP 0696075 A3	08/03/95	EPO	H01M	4/48	X
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
	12.	European Search Report corresponding to Great Britain application No.: GB 0209424.1; Date of Mailing: July 11, 2002.					



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FORM PTO-1449 U.S. Department of Commerce
Patent and Trademark OfficeAttorney Docket Number
208-6160CTSerial No.
107025-946
To be assigned

LIST OF DOCUMENTS CITED BY APPLICANT

(Use several sheets if necessary)

Applicants:

Gao et al.

Filing Date: Concurrently herewith
December 19, 2001Group
1745

U. S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation Yes No
1.	0 328 131 B1	08/16/89	EPO	H01M	10/40	X
2.	DE 689 10 843	08/16/89	Germany	H01M	10/40	X
3.	WO00/13249	03/09/00	PCT	H01M	10/40	X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED

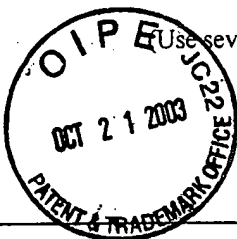
Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket Number
208-6160

Serial No.
10/025,946
To be assigned

LIST OF DOCUMENTS CITED BY APPLICANT



ATTORNEY
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TC 1700

Applicants:

Gao et al.

Filing Date: Concurrently herewith
December 19, 2001

Group
1745

U. S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation Yes No
OK	1. 5-234621	09/10/93	JP	H01M	10/40	abstract only
OK	2. 4-39859	02/10/92	JP	H01M	4/02	abstract only
OK	3. 11-025975	01/29/99	JP	H01M	4/58	abstract only
OK	4. 4-126374	04/27/92	JP	H01M	10/40	abstract only
OK	5. 2000-164210	06/16/00	JP	H01M	4/38	abstract only
OK	6. 10-223259	08/21/98	JP	H01M	10/40	abstract only
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OK	8. 10-270086	10/09/98	JP	H01M	10/40	abstract only
OK	9. 63-13282	01/20/88	JP	H01M	10/40	abstract only

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Notice of References Cited	Application/Control No. <u>40/025,946</u> <i>To Be Assigned</i> <i>Filed: Concurrently herewith</i>	Applicant(s)/Patent Under Reexamination GAO ET AL. <i>208-6160CT</i>	
	Examiner <u>Steve Kalafut</u>	Art Unit <u>1745</u>	Page 1 of 1

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	B	US-5,312,623	05-1994	Plichta et al.	429/224
	C	US-5,807,645	09-1998	Takeuchi et al.	429/232 X
	D	US-5,951,919	09-1999	Hwang et al.	429/224 X
	E	US-6,265,110	07-2001	Rao et al.	429/231.8
	F	US-6,541,156	04-2003	Fuse et al.	231.8 X
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N	10-117,406	05-1998	Japan	Miyasaka	H01M 4/48
	O					
	P					
	Q					
	R					
	S					
	T					

NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.